
Report To:	Health & Social Care Committee	Date:	22 October 2020
Report By:	Louise Long Corporate Director, (Chief Officer) Inverclyde Health & Social Care Partnership	Report No:	SW/37/2020/AS
Contact Officer:	Allen Stevenson Head of Health and Community Care	Contact No:	01475 715212
Subject:	RECONVENING OF INVERCLYDE JOINT ADULT SUPPORT AND PROTECTION INSPECTION		

1.0 PURPOSE

- 1.1 The purpose of this report is to brief the Committee on the proposed plan to reconvene the Inverclyde Joint Adult Protection inspection, led by the Care Inspectorate, Health Improvement Scotland and Her Majesty's Inspectorate of Constabulary.

2.0 SUMMARY

- 2.1 The Inverclyde Joint Inspection commenced in January 2020 with progress being made until the suspension of the programme as a result of the COVID-19 pandemic. The majority of the inspection was complete, with casefile reading of social work, health and police records along with staff focus groups the two elements outstanding.
- 2.2 As part of their Recovery Plan, the Lead Inspector from the Care Inspectorate has advised that it wishes to reconvene the inspection.
- 2.3 A formal approach has not yet been made but discussion has taken place between the Head of Health and Community Care, Service Managers and the inspecting organisations to discuss whether two proof of concepts can be tested in Inverclyde.
- 2.4 Inspectors wish to use the remainder of the Inverclyde Joint Inspection to test proof of concepts both involving file reading case files remotely in order to comply with COVID-19 regulations and rather than visit Inverclyde to carry out the inspection from different locations.
- 2.5 If successful, this will enable the Inverclyde Inspection to reach a full conclusion and allow inspectors to adopt the proof of concepts as the recognised methodology for future joint Adult Protection Inspections across Scotland.
- 2.6 A formal letter to reconvene the inspection is due imminently which will set out a programme of activity with associated timescales.

3.0 RECOMMENDATIONS

- 3.1 The Health & Social Care Committee is asked to note the contents of the report.

**Louise Long
Corporate Director (Chief Officer)
Inverclyde HSCP**

4.0 BACKGROUND

- 4.1 The Inverclyde Joint Adult Protection Inspection commenced in January 2020. File reading was due to commence in March 2020 at the time inspectors stood down due to the COVID-19 pandemic.
- 4.2 The Care Inspectorate has advised that it wishes to test two proof of concepts in order to conclude the Inverclyde inspection which, if successful, will become the recognised methodology for future inspections across Scotland.
- 4.3 The two proof of concepts require that file reading takes place out-with Inverclyde.
- 4.4 The first proof of concept is for one team of inspectors to be based securely in a central Care Inspectorate location with remote, read only access to systems in order to undertake file reading.
- 4.5 The second proof of concept is for a smaller team of inspectors to be based securely in their own homes with remote, read only access to systems in order to undertake file reading.
- 4.6 The Care Inspectorate is currently undertaking a Data Protection Impact Assessment (DPIA). This will document the end to end processes for each proof of concept, highlighting risks and controls to mitigate them.
- 4.7 The most effective and efficient way to access social work records is to provide inspectors with Inverclyde Council laptops with read only access to SWIFT and CIVICA. This will enable them to access the Adult Protection module and associated records and documents.
- 4.8 Pre-file reading training will be given by the Performance and Information Team prior to the actual file reading to ensure that Inspectors can access the system.
- 4.9 Trainers and practitioners will be identified to offer inspectors remote support throughout the file reading period.
- 4.10 Inspectors will be issued with Inverclyde Council laptops to undertake the file reading. SWIFT(Social work information system) and CIVICA (document management system) will be installed to enable read only access for social work records and special software will also be added to ensure the Inspectors can read health files
- 4.11 Her Majesty's Inspectorate of Constabulary in Scotland have made separate arrangements with Police Scotland to have access to the appropriate platform for remote, read only access to police records.
- 4.12 On completion of the file reading, remote focus groups with staff will take place to conclude the Inverclyde Joint Adult Protection Inspection.

5.0 PROPOSALS

- 5.1 To facilitate the Inspection team with read only access to the Social work information system and access to PDF copies of partners files with their agreement.

6.0 IMPLICATIONS

6.1 Finance – None

One off costs

Cost Centre	Budget Heading	Budget Years	Proposed Spend this Report £000	Virement From	Other Comments

Annually Recurring Costs/(Savings)

Cost Centre	Budget Heading	With Effect from	Annual Net Impact £000	Virement From (If Applicable)	Other Comments
N/A					

Legal

6.2 No implications

Human Resources

6.3 No implications

Equalities

No implications

6.4 (a) Has an Equality Impact Assessment been carried out?

	YES
√	NO – This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy. Therefore, no Equality Impact Assessment is required.

(b) Fairer Scotland Duty

If this report affects or proposes any major strategic decision:-

Has there been active consideration of how this report's recommendations reduce inequalities of outcome?

	YES – A written statement showing how this report's recommendations reduce inequalities of outcome caused by socio-economic disadvantage has been completed.
√	NO

(c) Data Protection

Has a Data Protection Impact Assessment been carried out?

√

YES – This report involves data processing which may result in a high risk to the rights and freedoms of individuals. The Data Protection Impact Assessment is in progress

NO

Repopulation

6.5 No implications.

7.0 CONSULTATIONS

7.1 The report has been prepared after due consideration with relevant senior officers in the HSCP.

8.0 LIST OF BACKGROUND PAPERS

8.1 None.